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Intellectual Property Law

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## FACSIMILE TRANSMISSION COVER SHEET

DATE:

April 9, 2007

TO:

Group Art Unit: 1645
Mail Stop Amendment
Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

RE:

U.S. Patent Application No. 10/577,791

Entitled: Dose-Dependent Promoter Originating in Humans

Our Ref.: 3190-094

FROM:

Luke A. Kilyk, Esq 2

FAC. TEL. NO.:

1-571-273-8300

NUMBER OF PAGES (INCLUDING THIS COVER SHEET):

Items Attached: Second Supplemental Information Disclosure Statement -- 3 pages

Form PTO/SB/08 -- 2 pages
Cited Documents - 7 pages

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax No. 1-571-273-8300 on April 9, 2007.

Kim Blum

Name (Print)

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Kim Blum
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE APR 0 9 2007

In re Application of: YOKOTA et al.

Application Number: 10/577,791

Filed: April 28, 2006

Docket No.: 3190-094

Examiner: Unassigned

Coroup Art Unit: 1645

Confirmation No.: 9745

For: DOSE-DEPENDENT PROMOTER ORIGINATING IN HUMANS

## SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97(c)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

April 9, 2007

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-SB-08. Pursuant to the current United States Patent and Trademark Office rules, no copies of U.S. Patents/Patent Application Publications are provided.

This Second Supplemental Information Disclosure Statement is being submitted after expiration of the three-month period following filing of the above-captioned application but before any Final Office Action or Notice of Allowance.

The undersigned hereby certifies that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Second Supplemental Information Disclosure Statement U.S. Patent Application No. 10/577,791

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-SB-08 be made of record therein and appear on the first page of any patent to issue therefrom.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in this application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

It is believed that no fee is required to make this a complete and timely filing. However, if it is determined that a petition or fee is required, the Commissioner is hereby authorized to charge any fee associated with this statement to our Deposit Account No. 50-0925.

Respectfully submitted,

Luke A. Kilyk Reg. No. 33,251

Second Supplemental Information Disclosure Statement U.S. Patent Application No. 10/577,791

5404281721

Atty. Docket No.: 3190-094 KILYK & BOWERSOX, P.L.L.C. 400 Holiday Court, Suite 102 Warrenton, VA 20186 Tel: (540) 428-1701

Fax: (540) 428-1720

Enclosures: PTO/SB/08 w/4 Documents

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APR 0 9 2007 PTO/SB/08a (09-08) Approved for use through 03/31/2007. OMB 0851-0031

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Substitute for form 1449A/PTO	Complete If Known				
		Application Number	10/577,791		
IN	INFORMATION DISCLOSURE			Filing Date	April 28, 2006
	STATEMENT BY APPLICANT		First Named Inventor	YOKOTA et al.	
STATEMENT DI APPLICANT		Art Unit	1645		
	(Use as many sheets as necessary)		Examiner Name	Unassigned	
Sheet	1	<u>of</u>	2	Attorney Docket Number	3190-094

U.S. PATENT DOCUMENTS							
<b>Examiner</b>	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
	No.1	Number - Kind Code <sup>2 (F Ampum)</sup>	MM-DD-YYYY Applicant of Cited Document		Relevant Passages or Relevant Figures Appear		
		US-6,783,961 B1	08-31-2004	Edwards et al.			
		US-7,115,416 B1	10-03-2006	Edwards et al.			
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		JP2001269182	10-02-2001	Genset S.A.	English Abstract attached; See U.S. Patent Nos. 6,783,981 B1 and 7,115,416 B1 for U.S. equivalents.		
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 If possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by \$5 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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